

Docket No.: 49921-036

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231  
Sir:

1c971 U.S. PTO  
89/934475  
08/23/01

Transmitted herewith for filing is the patent application of:

INVENTOR: Sang Seol KIM, Joon Hyung RYOO, Won Il KANG, Young Jong PARK,  
Eun Ja KIM, Suk Ong KWON, Jae Kyung LEE, Kyoung Jae JI, Tae Sik BANK,  
Joo Young HAN

FOR: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY  
CONVERSATION FOR DIALOGUE PARTNER

Enclosed are:

- ☒ 35 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☐ Certified copy of \_\_\_\_\_
- ☒ 5 sheets of formal drawing.
- ☒ An assignment of the invention to KOREA AXIS CO., LTD.  
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard
- ☒ **APPLICANTS ARE ENTITLED TO SMALL ENTITY STATUS**
- ☒ Preliminary Amendment

The filing fee has been calculated as shown below:

|                             | NO. OF<br>CLAIMS |     | EXTRA<br>CLAIMS | RATE    | AMOUNT   |
|-----------------------------|------------------|-----|-----------------|---------|----------|
| Total Claims                | 9                | -20 | 0               | \$18.00 | \$0.00   |
| Independent Claims          | 1                | -3  | 0               | \$80.00 | \$0.00   |
| Multiple Dependent Claim(s) |                  |     |                 |         | \$0.00   |
| Basic Fee                   |                  |     |                 |         | \$710.00 |
| Total of Above Calculations |                  |     |                 |         | \$710.00 |
| Less ½ for Small Entity     |                  |     |                 |         | \$355.00 |
| Assignment & Recording Fee  |                  |     |                 |         | \$40.00  |
| Total Fee                   |                  |     |                 |         | \$395.00 |



20277

PATENT TRADEMARK OFFICE

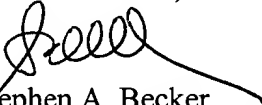
08/23/01  
11054 U.S. PTO

134475-082301

- 069447-02301  
T02230" 5444E660
- ☒ Please charge my Deposit Account No. 500417 in the amount of \$395.00. A duplicate copy of this sheet is enclosed.
  - ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
    - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
    - ☒ Any patent application processing fees under 37 CFR 1.17.
    - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Stephen A. Becker  
Registration No. 26,527

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Washington, DC 20005-3096  
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**Date: August 23, 2001**  
Facsimile: (202) 756-8087

D. J.  
#7 11-28-01  
*Priority Papers*  
**PATENT**

Docket No.: 49921-036

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :

Sang Seol KIM, et al. :

Serial No.: :

Group Art Unit:

Filed: August 23, 2001 :

Examiner:

For: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY  
CONVERSATION FOR DIALOGUE PARTNER

1c971 U.S. PTO  
09/934475  
08/23/01

**CLAIM OF PRIORITY**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the provisions of 35 U.S.C. 120, Applicants hereby claim the priority of  
U. S. Patent Application No. 09/321,626, filed May 28, 1999, of which this application is a  
Continuation-In-Part, which claims the benefit of the priority under 35 U.S.C. 199 of :

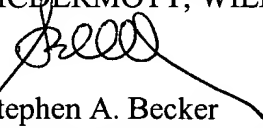
**Korean Patent Application No. 1999-16583, Filed May 10, 1999**

cited in the Declaration of the present application.

Acknowledgement is respectfully requested.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Stephen A. Becker  
Registration No. 26,527

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